





Application to exercise using PSA data series 16, 17. An overview will be given of applied data and analysis with CTSM-R.

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Dynamic Calculation Methods for Building Energy
Performance Assessment

















presented by DYNASTEE-INIVE, CIEMAT, DTU, UPV/EHU, GCU, University of Salford







0.0 – Aim and Background

The application of the Grey Box modelling method CTSM-R is described in order to obtain the Heat Loss Coefficient (HLC) results for the provided data.

Other methods such as the average method and LORD has also been applied to the data in order to compare the results between the methods. However, only the CTSM-R results are shown.

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0.0 – Aim and Background

- There can be seen a considerable "performance gap" between the real and the designed energy consumption in buildings.
- In order to carry out a correct building envelope energetic characterization it is indispensable to analyse its:

Heat Loss Coefficient (HLC) [W/ºC]

II

Transmission heat loss (UA [W/ºC])

+ Infiltration and ventilation heat loss (Cv [W/ºC])





1.0 - Introduction

- Round Robin Experiment is proposed as first step before start analysing real building cases
- Then, it will be easier to investigate the issues in current buildings.

1.1- Test box

- The characterization of the Round Robin Test Box in order to analyse how it behaves.
- The investigated test box has a cubic form with exterior dimensions of 120x120x120 cm^3 .
- The window glazing area dimensions are 52x52 cm².
- The box is not in contact with the floor, so is considered that it is floating in free air.



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1.0 - Introduction

1.2- Test location and period

- The experiment has been carried out at Plataforma Solar de Almeria (PSA), Spain.
- The testing period started the 6th of December of 2013 and ended the 7th of January of 2014.
- The test has been developed under real weather conditions.

1.3- Data acquisition:

- · Several sensors were installed in order to measure the data affecting the box.
- The measured parameters are shown in the following table:

Temperature	Solar radiation	Humidity	Wind characteristics	Heating
4 air temperature sensors	1 vertical south, 1 vertical north and 1 horizontal global solar radiation sensor	1 relative humidity sensor	1 wind speed sensor	1 heat power sensor
14 surface temperatura sensors	1 beam solar radiation and 1 diffuse solar radiation sensor		1 wind direction sensor	6 heat flux sensors
8 average surface temperatura sensors	1 horizontal and 1 vertical long wave radiation sensor			

 The data has been read and recorded every minute in the GMT timeframe in three datasets.





2.0 - Pre-processing:

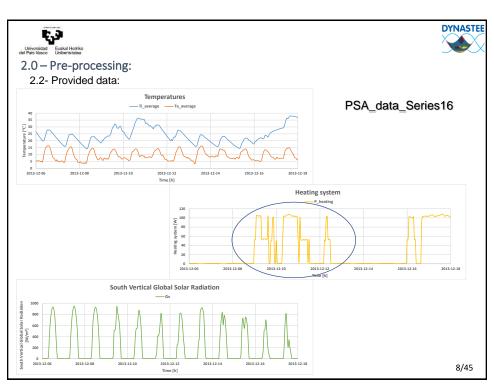
2.1- Input data

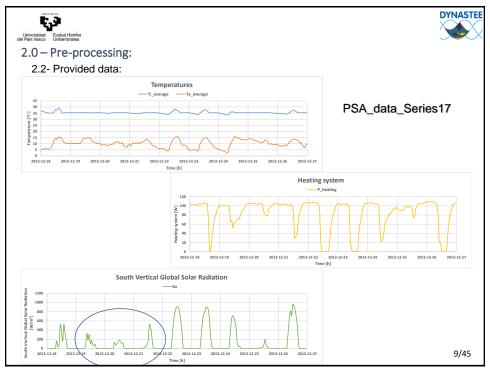
Only the following parameters have been necessary in order to estimate the HLC of the box:

- Ambient temperature (Ta, °C): The ambient air temperature has been measured by several sensors:
 - Two $\operatorname{\mbox{air}}$ temperature sensors located in the lower side and the middle side of the box.
- Internal temperature (Ti, °C): The internal temperature has also been measured by several sensors:
 - Two air temperature sensors located in the lower side (1/3 height of the box) and the upper side (2/3 height of the box) of the box.
- Heating power (Ph, W): The heating device used in order to provide heating power into the box has been a 100W incandescent lamp.
- Solar radiation (Gv, vertical south global solar radiation (W/m²)): The exercise has provided data for every type of radiation affecting the box. However, since the window of the box is orientated to the south, the vertical south global solar radiation will be the main solar radiation signal affecting the HLC estimation.

All data was provided in minutes. However, due to some difficulties found when working with the methods, the data has been converted into hourly data.

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3.0 - Modelling:

3.1- Grey Box Models:

- The grey box models are also useful in order to identify the internal dynamics of a building or a box in this case.
- This method works with a model case, where each model includes the internal parametrization of the model. In order words, the models need to have some previous physical knowledge in order to make proper estimations.

Thus, during the grey box models analysis, the simplest one will be the first model been studied and then, the model will be becoming more complex. Thus, a set from the simplest to the most complex model will be fitted and the results will be described.

There, the physical part is stochastic linear state-space model and the dynamics of the states are written as;

$$dT = ATdt + BUdt + d\omega$$

where T is the state vector (depending on the model this varies) and U is the input vector, and A and B are the estimated parameters. All the considered models have an input vector with three inputs:

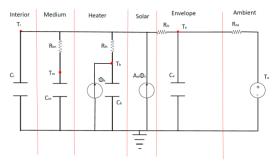
$$U = [Ta, Ph, Gv]$$

where Ta is the ambient temperatura, Ph the internal heat and Gv the solar gains.





The figure shows the most complex model that could be find when working with grey box models:



This model have six parts that will be combined in order to estimate the rest of the models. The parts are the interior, the medium, the heater, the solar radiation, the envelope and the ambient.

As seen, this model includes four state variables that represent the temperature in each part of the building; the interior temperature (T_i) , the medium temperature (T_m) , the heater temperature (T_n) and the building envelope temperature (T_n) .

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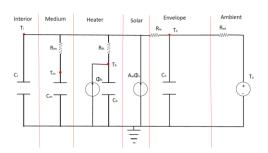
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3.0 - Modelling:

The thermal resistances and heat capacities are also parameters of the model. Between the resistances \mathbf{R}_{im} (thermal resistance between the interior part and the medium part), \mathbf{R}_{ih} (thermal resistance between the interior part and the heater part), \mathbf{R}_{ie} (thermal resistance between the interior temperature and the envelope part) and \mathbf{R}_{ea} (thermal resistance between the envelope and the ambient).



Also the heat capacities of each of the parts are presented as \mathbf{C}_i (for the interior), \mathbf{C}_m (for the medium part or interior wall), \mathbf{C}_h (for the heater) and \mathbf{C}_e (for the building envelope). Moreover, also the effective window area (\mathbf{A}_w) is estimated.

Finally, $\omega_{\rm i,t}$, $\omega_{\rm m,t}$, $\omega_{\rm h,t}$ and $\omega_{\rm e,t}$ are standard Wiener processes, and σ_i^2 , σ_m^2 , σ_h^2 and σ_e^2 are the incremental variances of the Wiener processes.

Once all the models are plotted, the **maximum likelihood estimates** of the parameters can be achieved. Thus, it will be possible to compare them and select the most reliable model for the selected data.





- 3.0 Modelling:
 - 3.2- Data introduction:
 - 3.2.1- Call the packages and set the directory:

library(gtools) → Charges some R tools
library(lubridate) → Allows to work with data times
library(ctsmr) → Activates the CTSM-R

setwd("/Users/iuriarte036/Desktop/doctorado/03CURSOS/06Almeria~curso/Exercise")~3.2.2-~ Call~the~data~(CSV~files):

December16_1 <- read.csv("Data/Almeria16_1.csv", header=TRUE, sep=",", dec=".", skip=0, stringsAsFactors = FALSE)

3.2.3- Identify the data and modify:

db.december16_1=data.frame(date=December16_1\$Time..DD.MM.AAAA.h.mm.,Ti1=December16_1\$Ti_up,Ti2=December16_1\$Ti_down,Te1=December16_1\$Te_down,Te2=December16_1\$Te_middle,Gv=December16_1\$Gv, Q=December16_1\$P_heating)

In order to obtain the required data, the provided data is modified. Therefore, the internal heat is converted from W into kW, the external and internal average temperatures are calculated...

3.2.4- Join the data in the same file and save it:

db.december_1= rbind(db.december16_1, db.december17_1)

saveRDS(db.december_1, file = paste0("Data1/db.december_1.RData"))

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- 3.0 Modelling:
 - 3.3- Model selection process:
 - 3.3.1- Call the packages and set the directory:

library(gtools) → Charges some R tools library(lubridate) → Allows to work with data times library(ctsmr) → Activates the CTSM-R

setwd("/Users/iuriarte036/Desktop/doctorado/03CURSOS/06Almeria curso/Exercise")

3.3.2- Call the source with the scripts where the models are saved:

files <- dir("functions2", full.names=TRUE) for(i in 1:length(files)) source(files[i])

3.3.3- Set the latitud and the longitud:

prm <- list()
prm\$latitude <- 37.09
prm\$longitude <- -2.36

3.3.4- Call the file:

data= readRDS("Data1/db.december_1.RData")





3.3.5- Set the best period:

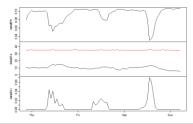
The provided data is too long in order to obtain good results with the model. Then, analysis has been carried out using two different period; the series 16 period between the **9th of December 2013** and the **13th of December 2013** and the period of series 17 between the **18th of December 2013** to **the 22nd of December 2013**. Therefore, the data is converted into a readable code and is limited using the following code:

```
data$timedate=as.POSIXct(data$timedate, tz="UTC")
data= data[order[data$timedate, decreasing = FALSE],]
t.start = strptime("2013-12-18 20:59:00", format = "%Y-%m-%d %H:%M:%S")
t.end = strptime("2013-12-22 04:59:00", format = "%Y-%m-%d %H:%M:%S")
t0 = which(data$timedate == t.start)
tN = which(data$timedate == t.end)
data = data[(t0+1):(tN+1),]
```

3.3.6- Plot the selected period:

In order to see the selected period data it will be plotted using the following code:

```
\label{eq:datastimedate} datastimedate <- asP(datastimedate) \\ datast <- asHours(datastimedate-datastimedate[1]) \\ plotTSBeg(3,cex=0.7) \\ plot(datastimedate,datasPh,type="l") \\ plot(datastimedate,datasTa,type="n",ylim=c(0,45)) \\ lines(datastimedate,datasTa) \\ lines(datastimedate,datasyTi,col=2) \\ plot(datastimedate,datasGv,type="l") \\ plotTSXAxis(datastimedate) \\ \end{tabular}
```



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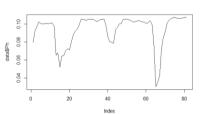


3.0 - Modelling:

3.3.7- Generate the weight vectors:

Since the residuals obtained when plotting the models were not good, weight vectors are applied in order to obtain better residuals. Thus, the model will behave differently when the heater is switched on or off:

```
i <- which(abs(diff(data\$Ph))>2) \\ data\$weights = 0 \\ weightsAfterSwitch = 1 \# how long do you want to consider it \\ L <- unique(c(sapply(i,function(x)\{(x-1):(x+weightsAfterSwitch)\}\}))) \\ if(length(L)>0) \\ \{ \\ data\$weights[L] <- 1 \\ data\$weights[1:min(which(data\$weights==1))] <- 1 \\ \} \\ par(mfrow= c(1,1)) \\ plot(data\$Ph,type="l", col=1) \\ lines(data\$weights,type="l", col=5) \\ points(data\$weights) \\ \end{cases}
```



These weights will be after introduced as input in the diffusion term of the state formula, which will make the models provide better residuals. Therefore, it also means that it will be easier for the model to estimate the real value of the parameters.

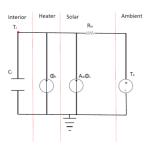




3.3.8- Models:

Since there are several models to be tested, the structure of the most simple model (Ti model) will be shown first:





```
sdeTi <- function(data, weighting) {

##Fit a SDE model for the room

## Be a bit smart and do the same in a function, see functions/Ti.R

if(weighting){

model <- ctsm()

## Add a system equation and thereby also a state

model$addSystem(dTi ~ (1/(Ci*Ria)*(Ta-Ti) + 1/Ci*Ph + Aw/Ci*Gv)*dt

+(1+(weights*Wlev))*exp(p11)*dw1)

## Set the names of the inputs

model$addInput(Ta,Ph,Gv,weights)

## Set the observation equation: Ti is the state, yTi is the measured
output

model$addObs(yTi ~ Ti)

## Set the variance of the measurement error

model$setVariance(yTi ~ exp(e11))
```

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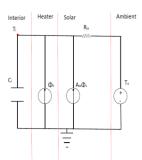
3.0 - Modelling:

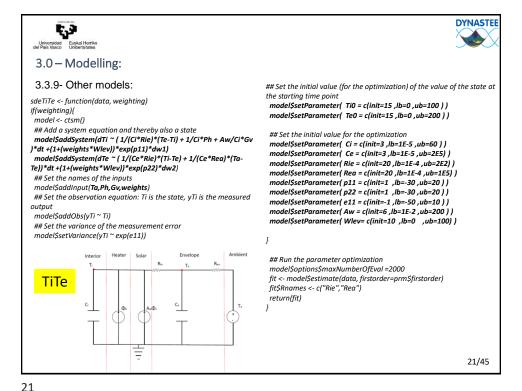
3.3.8- Models:

#For HTC return(fit)

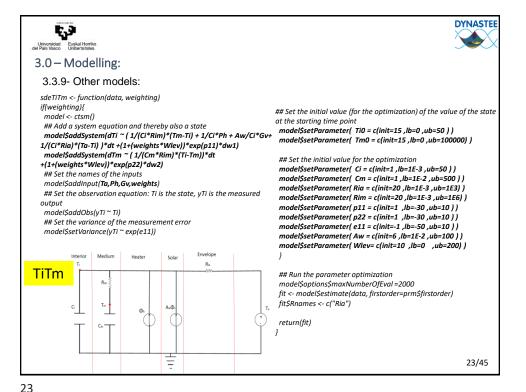
Set the initial value (for the optimization) of the value of the state at the starting time point model\$setParameter(Ti0 = c(init=15,lb=0,ub=60))

```
## Set the initial value for the optimization model$setParameter( Ci = c(init=1 ,lb=1E-4 ,ub=1E7 )) model$setParameter( Ria = c(init=20 ,lb=1E-3 ,ub=1E5)) model$setParameter( p11 = c(init=1 ,lb=-30 ,ub=10)) model$setParameter( e11 = c(init=1 ,lb=-100 ,ub=100)) model$setParameter( Aw = c(init=8 ,lb=1E-6 ,ub=50)) model$setParameter( Wlev= c(init=10 ,lb=0 ,ub=100)) ## Run the parameter optimization fit <- model$setimate(data, firstorder=prm$firstorder) fit$Rnames <- c("Ria")
```

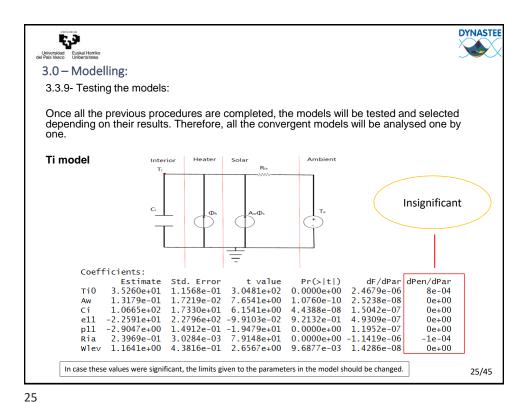


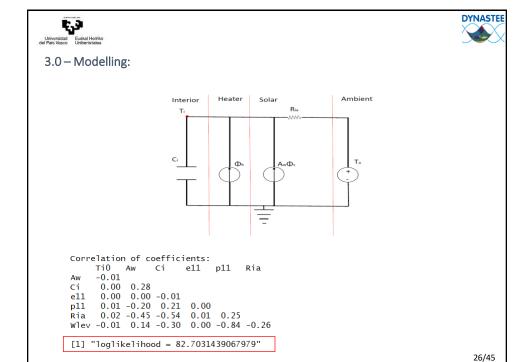


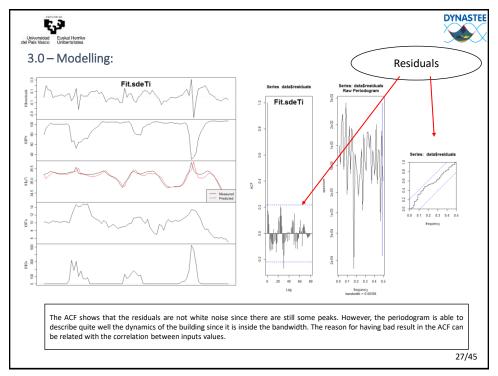
DYNASTEE £,3 Universidad Euskal Herriko del País Vasco Uniberts/tatea 3.0 - Modelling: 3.3.9- Other models: sdeTiTeTh <- function(data, weighting) if(weighting){ ## Set the initial value (for the optimization) of the value of the state at the model <- ctsm() startina time point ## Add a system equation and thereby also a state model\$setParameter(Ti0 = c(init=25 ,lb=0 ,ub=70)) model\$addSystem(dTi ~ (1/(Ci*Rih)*(Th-Ti) + Aw/Ci*Gv+ 1/(Ci*Rie)*(Temodel\$setParameter(Te0 = c(init=25 ,lb=0 ,ub=70)) Ti))*dt +(1+(weights*Wlev))*exp(p11)*dw1) model\$setParameter(Th0 = c(init=25 ,lb=0 ,ub=150)) model\$addSystem(dTe ~ (1/(Ce*Rea)*(Ta-Te)+ 1/(Ce*Rie)*(Ti-Te))*dt +(1+(weights*Wlev))*exp(p22)*dw2) ## Set the initial value for the optimization model\$addSystem(dTh ~ (1/(Ch*Rih)*(Ti-Th)+1/Ch*Ph)*dt model\$setParameter(Ci = c(init=10,lb=1E-2,ub=1E6)) +(1+(weights*Wlev))*exp(p33)*dw3) model\$setParameter(Ce = c(init=10 ,lb=1 ,ub=1E7)) modelSsetParameter(Ch = c(init=10 .lb=1E-2 .ub=1E6)) ## Set the names of the inputs model\$setParameter(Rie = c(init=1,lb=1E-6, ub=100)) model\$addInput(Ta,Ph,Gv,weights) model\$setParameter(Rea = c(init=1 ,lb=1E-6 ,ub=1000)) ## Set the observation equation: Ti is the state, yTi is the measured output model\$addObs(yTi ~ Ti) modelSsetParameter(Rih = c(init=1 .lb=1F-6 .ub=100) | model\$setParameter(p11 = c(init=1 ,lb=-30 ,ub=30)) ## Set the variance of the measurement error model\$setParameter(p22 = c(init=1 ,lb=-30 ,ub=30)) model\$setParameter(p33 = c(init=1 ,lb=-25 ,ub=30)) model\$setVariance(yTi ~ exp(e11)) model\$setParameter(e11 = c(init=-1,lb=-30,ub=50)) model\$setParameter(Aw = c(init=2 ,lb=1E-3 ,ub=300))
model\$setParameter(Wlev= c(init=10 ,lb=0 ,ub=100)) TiTeTh ## Run the parameter optimization model\$options\$maxNumberOfEval =2000 fit <- model\$estimate(data, firstorder=prm\$firstorder) fit\$Rnames <- c("Rie", "Rea") return(fit) 22/45

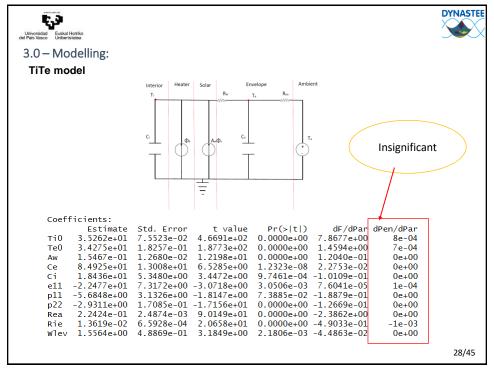


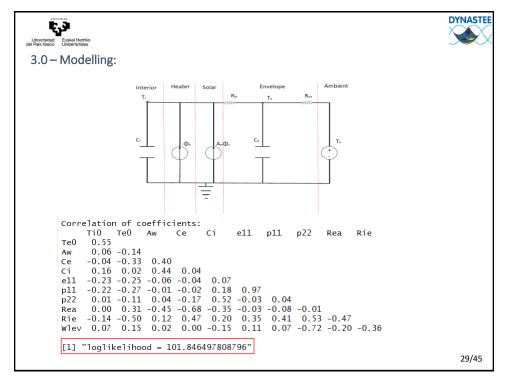
DYNASTEE Universidad Euskal Herriko del País Vasco Uniberts/tatea ## Set the initial value (for the optimization) of the value of the state a 3.0 - Modelling: the starting time point 3.3.9- Other models: model\$setParameter(Ti0 = c(init=15, lb=0, ub=60)) model\$setParameter(Tm0 = c(init=15 ,lb=0 ,ub=500)) sdeTiTmTh <- function(data, weighting) model\$setParameter(Th0 = c(init=15 ,lb=0 ,ub=50)) if(weighting){ ## Set the initial value for the optimization model <- ctsm() model\$setParameter(Ci = c(init=3 ,lb=1E-3 ,ub=1E2)) ## Add a system equation and thereby also a state model\$setParameter(Ch = c(init=3 ,lb=1E-3 ,ub=1E7)) model\$add\$ystem(dTi ~(~1/(Ci*Rim)*(Tm-Ti) + 1/(Ci*Rih)*(Th-Ti) + 1/(CiAw/(Ci)*Gv+ 1/(Ci*Ria)*(Ta-Ti))*dt model\$setParameter(Cm = c(init=3 ,lb=1E-3 ,ub=1E2)) model\$setParameter(Ria = c(init=3 ,lb=1E-2 ,ub=1E3)) +(1+(weights*Wlev))*exp(p11)*dw1) model\$setParameter(Rih = c(init=3 ,lb=1E-2 ,ub=1E4)) model\$addSystem(dTm ~ (1/(Cm*Rim)*(Ti-Tm))*dt model\$setParameter(Rim = c(init=3 ,lb=1E-2 ,ub=1E4)) +(1+(weights*Wlev))*exp(p22)*dw2) model\$addSystem(dTh ~ (1/(Ch*Rih)*(Ti-Th)+ 1/(Ch)*Ph)*dt model\$setParameter(p11 = c(init=1,lb=-30,ub=10)) model\$setParameter(p22 = c(init=1,lb=-30,ub=20)) +(1+(weights*Wlev))*exp(p33)*dw3) model\$setParameter(p33 = c(init=1 ,lb=-30 ,ub=10)) ## Set the names of the inputs model\$setParameter(e11 = c(init=-1,lb=-50,ub=10)) model\$addInput(Ta,Ph,Gv,weights) model\$setParameter(Aw = c(init=6 ,lb=1E-4 ,ub=3000)) ## Set the observation equation: Ti is the state, yTi is the measured model\$setParameter(Wlev= c(init=10 ,lb=0 ,ub=1000)) output model\$addObs(vTi ~ Ti) ## Set the variance of the measurement error model\$setVariance(yTi ~ exp(e11)) ## Run the parameter optimization model\$options\$maxNumberOfEval =2000 fit <- model\$estimate(data, firstorder=prm\$firstorder) fit\$Rnames <- c("Ria") return(fit) TiTmTh 24/45

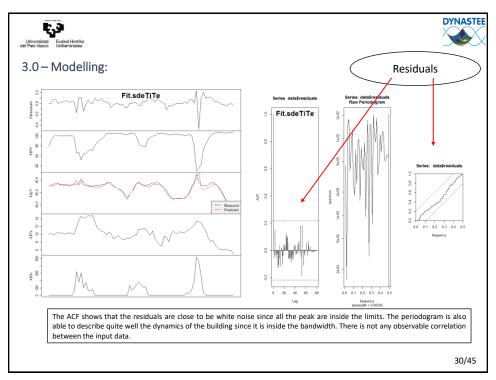


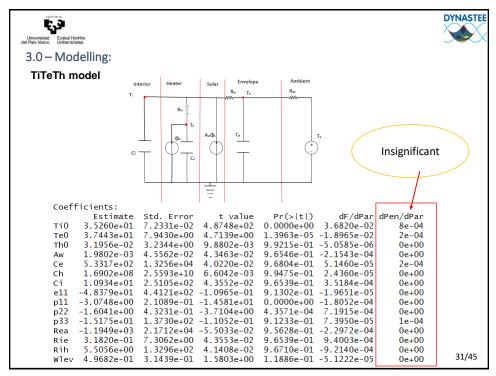


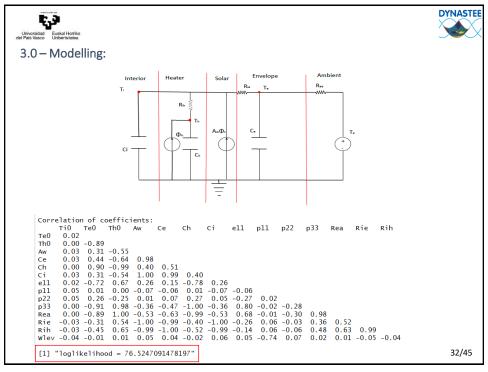


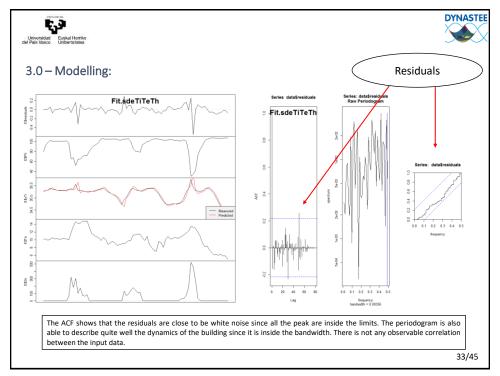


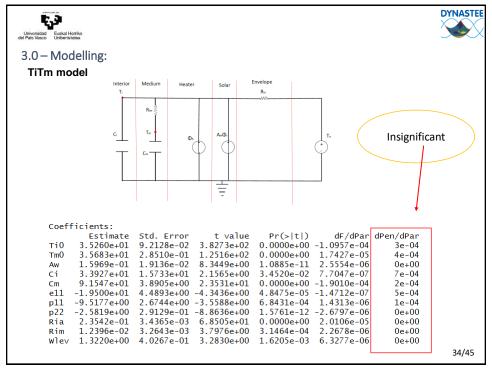


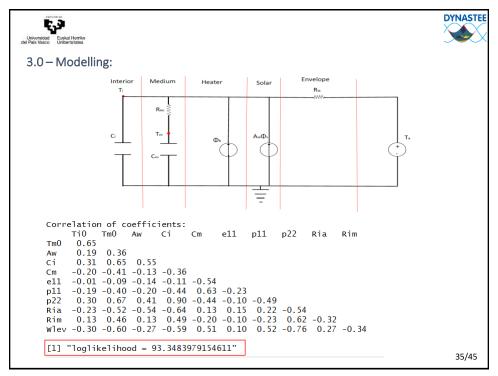


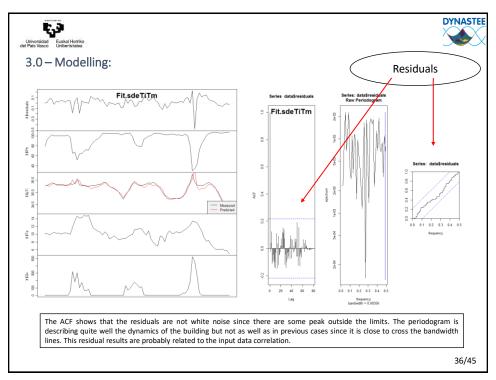


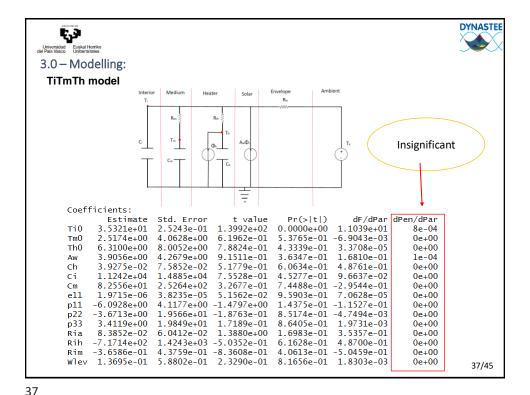


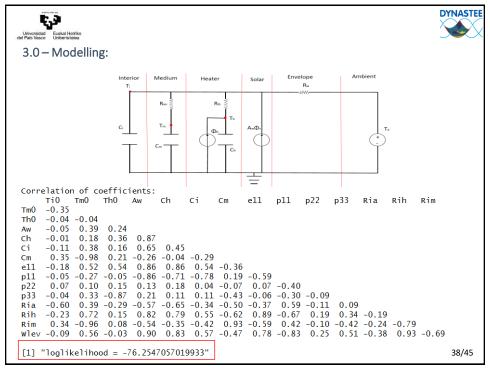


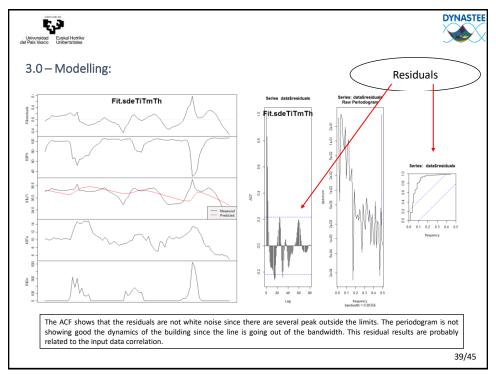
















3.0 - Modelling:

3.3.10- Evaluating and selecting the models:

Once all the models have been tested and the residuals analysed, it is indispensable to make a comparison between the obtained results. Therefore, the Likelihood Ratio Test is used:

DATASET 16	Log-likelihood	p-value (LRT)		
Ti	-71.0			
TiTe	-1.5	0 (Ti ->TiTe)		
TiTeTh	-48.9	1 (TiTe ->TiTeTh)		
TiTm	-9.2	0 (Ti ->Tm)		
TiTmTh	_	- (TiTm ->TiTmTh)		

DATASI 17		Log-likelihood	p-value (LRT)
Ti		82.7	
TiTe		101.9	9.7* 10 ⁻⁸ (Ti ->TiTe)
TiTeTh		76.5	1 (TiTe ->TiTeTh)
TiTm		93.4	2.8* 10 ⁻⁴ (Ti ->Tm)
TiTmTh	1	-76.3	1 (TiTm ->TiTmTh)

The Likelihood Ratio Test value is achieved as D = 2 (log LA - log LB), where log LA is the logarithm of the likelihood function for model A. Given that the model can be reduced to model B the quantity D is χ^2 (k - m) distributed, where k and m are the number of parameters in model A and B, respectively.





3.3.11- Heat Loss Coefficient calculation:

Then, it is necessary to estimate the HLC of the models in order to see the result for each of the models:

```
analyzeHTC <- function (fit, tPer=NA, plotACF=FALSE, plotSeries=FALSE, plotMore=FALSE, newdev=FALSE, acfRmNA=FALSE, acftext=''', printSummary=TRUE,...)
## Calculate the loglikelihood value
   if(printSummary) print(paste("Loglikelihood", format(fit$loglik,digits=2)))
  ## The estimated HTC-value i <- which(names(fit$xm)%in%fit$Rnames)
   HTC <- 1/sum(fit$xm[i])
HTC <-1/sum[fit[$xm[i]] if(print[$xm[i]) = ("HTC:",format(HTC,digits=4))) ## W/C ## The covariance for the two estimated R values ii <- which(colnames/fit[$cor])%in%fit[$Rnames) if(length(i)==1) cov <- fit[$sd[i] * fit[$cor[ii,ii] * fit[$sd[i] = lese cov <- diag(fit[$sd[i]) %*% fit[$corf[ii,ii] %% diag(fit[$sd[i]) #if(print[$ummary) print[paste("Cov = ",cov))
  ## Calculate the uncertainty of the HTC value with a linear approximation to the covariance ## The Jacobian, the derived of the HTC-value with respect to each estimate in fitsxm[i] J \sim t (sapply(1-length(i), function(ii,x){-1/sum(x)^2}, x=fitsxm[i])) ## The estimated variance of HTC varHTC \sim J %% cov %"% t(J)
   ## and standard deviance
    sdHTC <- sqrt(varHTC)
    ## Return the confidence interval
 ### Return for Commence Interval (figurits Summary) print(paste("HTC 95% confidence band:",paste(format(c(HTC-1.96*sdHTC,HTC+1.96*sdHTC),digits=4),collapse=" to "))) ##if(printSummary) print(paste("HTC 95% values:",paste(format(c(1-((HTC-1.96*sdHTC)/HTC),((HTC+1.96*sdHTC)/HTC)-1),digits=4),collapse=" and ")))
                                                                                                                                                                                                                                                                                                 41/45
```

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3.0 – Modelling:

3.3.12- Solar aperture calculation:

Then, it is necessary to estimate the Sa of the models in order to see the result for each of the models:

```
## The gA value
gA <- fit$xm["Aw"]
#names(gA) <- NULL
  sdqA <- fit$sd["Aw"]
  #names(sdgA) <- NULL
 if(printSummary) print(paste("gA:",paste(format(gA,digits=2)))) ## W/C if(printSummary) print(paste("gA 95% confidence band:",paste(format(c(gA-1.96*sdgA, gA+1.96*sdgA),digits=2),collapse=" to ")))
```





If the HLC is estimated for all the models, the following results will be achieved:

DATASET 16	ньс [w/°c]	Error [W/°C] (HTC 95% Confidence Interval of the estimation method)	Aperture (A2) [m²]	Error [m²]	Log-likelihood	P value
Ti	3.80	±0.48	0.11	±0.04	-71.0	
TiTe	4.10	±0.11	0.15	±0.01	-1.5	0 (Ti ->TiTe)
TiTeTh	13.70	±27.90	3.40	±1.00	-48.9	1 (TiTe ->TiTeTh)
TiTm	4.20	±0.15	0.16	±0.02	-9.2	0 (Ti ->Tm)
TiTmTh	-	-	-	-	-	- (TiTm ->TiTmTh)

DATASET 17	HLC [W/°C]	Error [W/°C] (HTC 95% Confidence Interval of the estimation method)	Aperture (A2) [m²]	Error [m²]	Log-likelihood	P value
Ti	4.20	±0.10	0.13	±0.03	82.7	
TiTe	4.20	±0.09	0.15	±0.03	101.9	9.7* 10 ⁻⁸ (Ti ->TiTe)
TiTeTh	-0.01	±0.03	0.01	±0.08	76.5	1 (TiTe ->TiTeTh)
TiTm	4.30	±0.12	0.16	±0.04	93.4	2.8* 10 ⁻⁴ (Ti ->Tm)
TiTmTh	11.90	±16.90	3.90	±8.40	-76.3	1 (TiTm ->TiTmTh)

After the Likelihood Ratio Test we assumed that the obtained best model is the **TiTe** model for both cases. Therefore, it will be estimated as the best one for the provided data two datasets. In this case, the obtained HLC values for dataset 16 is **4.1W/°C** and for dataset 17, **4.2W/°C**. Moreover, the same solar apertura value has been estimated for both dataset with a value of **0.15m²**.

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4.0 - Conclusions

- > The models are able to provide quite accurate responses although sometimes they can be affected by the correlation of the input data.
- After the Likelihood Ratio Test it is assumed that TiTe is the best model for both datasets. In this case, the obtained HLC for this model is 4.1 ± 0.11W/°C for dataset 16 and 4.2 ± 0.09 W/°C for dataset 17.
- The TiTe model is showing good residuals. The ACF shows residuals close to white noise without any periodical behaviour or considerable peak. Moreover, the periodogram is defining quite accurately the dynamics of the box.
- > If the aperture results are checked can be concluded that the results are exactly the same for both datasets, **0.15m²**.





Thank you for your attention!



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